

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Attention: Application Branch

CHRISTOPHERS et al

Atty. Dkt. 3774-4

Serial No. 09/833.799

Date: September 21, 2001

For: POLYPEPTIDES AND POLYPEPTIDE ANALOGUES

Assistant Commissioner for Patents
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

Signed Rule 63 Declaration alone, Copy of Declaration from prior application alone, OR
 Signed Declaration plus attached copy of originally filed specification/drawings.
 NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form.
 Record the attached assignment and return to the undersigned.
 Attached is a Power of Attorney.
 Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications

| Application Number | Country | Day/Month/Year Filed |
|--------------------|----------------|----------------------|
| 8913346.6 | United Kingdom | 09 June 1989 |
| 8913349.0 | United Kingdom | 09 June 1989 |
| 8921613.9 | United Kingdom | 25 September 1989 |
| 8924717.5 | United Kingdom | 02 November 1989 |

respectively.

- Certified copy(ies) of foreign application(s) is/are attached.
- Certified copy(ies) filed on _____ in prior application no. _____, filed _____.
- Applicant claims "small entity" status. "Small entity" statement attached.
- Also attached: Letter; Other: 17 Sheets of Substitute Drawings

Fees due are calculated below:

| | | | |
|--|----|---------------------------|--------------|
| Basic filing fee | | \$ | 710.00 |
| Total Effective claims | 26 | - 20 = | 6 x \$ 18.00 |
| Independent claims | 4 | - 3 = | 1 x \$ 80.00 |
| If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper) | | \$ | 270.00 |
| | | FILING FEE | \$ 1,168.00 |
| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months) | | \$ | 110.00 |
| Surcharge (\$130.00) if Declaration or filing fee first now submitted | | \$ | 130.00 |
| English translation of specification and claims (\$130.00) | | \$ | 0.00 |
| | | FIRST SUBTOTAL | \$ 1,408.00 |
| If "small entity," enter half (1/2) of subtotal and subtract | | \$ | 0.00 |
| | | SECOND SUBTOTAL | \$ 1,408.00 |
| Assignment Recording Fee (\$40.00) | | \$ | 0.00 |
| | | TOTAL FEE DUE | \$ 1,408.00 |
| Check enclosed (Pre-Bill)* | | \$ | |
| Check enclosed (non Pre-Bill)* | | \$ | 1,408.00 |
| | | TOTAL FEE ENCLOSED | \$ 1408.00 |

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
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NIKON & VANDERHYE B.C.

By Atty: Mary J. Wilson Reg. No. 32 955

Signature:

*For N&V internal accounting purposes

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

CHRISTOPHERS et al

Atty. Ref.: 3774-4

Serial No.: 09/833,799

Group Art Unit:

Filed: April 13, 2001

Examiner:

For: POLYPEPTIDES AND POLYPEPTIDE ANALOGUES

* * * * *

September 21, 2001

LETTER

Hon. Commissioner of Patents
and Trademarks
Washington, DC 20231

Sir:

Correspondence regarding this matter should continue to be addressed to:

Mary J. Wilson
NIKON & VANDERHYE P.C.
1100 North Glebe Road
8th Floor
Arlington, Virginia 22201-4714.

Respectfully submitted,

NIKON & VANDERHYE, P.C.

By Mary J. Wilson
Mary J. Wilson
Reg. No. 32,955

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/833,799 | 04/13/2001 | Enno Christophers | 3774-4 |

CONFIRMATION NO. 1948

FORMALITIES LETTER



OC000000006207927

NIXON & VANDERHYE P.C.
 1100 North Glebe Road, 8th Floor
 Arlington, VA 22201-4714

Date Mailed: 06/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$458.
 - \$108 for 6 total claims over 20.
 - \$80 for 1 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- **The balance due by applicant is \$ 1298.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets

must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE